

REPUBLIC OF TAJIKISTAN

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

for

TAJIKISTAN PREPAREDNESS AND RESILIENCE TO DISASTERS PROJECT  
(PREPARED)

Draft December 10, 2021

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Tajikistan (Recipient) will implement the TAJIKISTAN PREPAREDNESS AND RESILIENCE TO DISASTERS Project (Project), with involvement of the Ministry of Finance (MoF), the Committee for Emergency Situations and Civil Defense (CoESCD) and Ministry of Transport (MoT). The International Development Association (Association) has agreed to provide financing for the Project.
2. The Recipient shall implement material measures and actions so that the Project is implemented in accordance with the Environmental and Social Standards (**ESSs**). This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, to be carried out or caused to be carried out by the Recipient, including the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, grievance management and the environmental and social assessments and instruments to be prepared or updated, disclosed, consulted, adopted and implemented under the ESCP and the ESSs, all in a manner acceptable to the Association.
3. The Recipient is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions are conducted by the Ministries referred to in paragraph 1 above.
4. Implementation of the material measures and actions set out in this ESCP shall be monitored and reported to the Association by the Recipient as required by the ESCP and the conditions of the legal agreement, and the Association shall monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
5. As agreed by the Association and the Recipient, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, Recipient will agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Project. The Recipient shall promptly disclose the updated ESCP.
6. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include as environmental, social, health and safety impacts and labor influx.

| MATERIAL MEASURES AND ACTIONS   |   | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY  |
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| <b>MONITORING AND REPORTING</b> |   |  |   |
| A                               | <b>REGULAR REPORTING:</b> Prepare and submit regular progress and monitoring reports to the Association on environmental, social, health, and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation of implementation of environmental and social (E&S) instrument required under the ESCP, stakeholder engagement activities, and functioning of the grievance mechanism(s).  | Starting from the Effective Date, semi-annual (within 15 days of end of sixth calendar month) throughout Project implementation. The Semi-annual reporting shall also be aligned with each ISRs. | Ministry of Finance - Project Implementation Unit (MoF-PIU) with support from Committee for Emergency Situations Project Implementation Group (CoESCD-PIG) and Civil Defense and Ministry of Transport Project Implementation Group MoT-PIG |
| B                               | <b>INCIDENTS AND ACCIDENTS:</b> Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers or any other affected party, including construction mishaps, security related issues, sexual exploitation and abuse and sexual harassment (SEA/SH) or any sort of harassment. Provide sufficient details regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate.<br>Subsequently, as per the Association's request prepare a report on the incident or accident and propose any measure to prevent its recurrence. | Promptly notify the Association but no later than 48 hours after learning of the incident or accident and provide a detailed report not later than 10 days after that.                           | MoF-PIU CoESCD-PIG and MoT-PIG  |

| MATERIAL MEASURES AND ACTIONS   |   | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY   |
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| C   | <p><b>CONTRACTORS MONTHLY REPORTS</b><br/>Require contractors and supervising firms to provide monthly monitoring reports on ESHS performance in accordance with the E&amp;S metrics specified in the respective bidding documents and contracts and submit such reports to the Association when requested.</p>   | Submit the monthly reports to the Association upon request   | MoF PIU, CoESCD-PIG & MOT PIG to require contractors and supervising firms |
| <b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b> |   |  |  |
| 1.1   | <p><b>ORGANIZATIONAL STRUCTURE:</b> Establish and maintain an organizational structure with qualified staff and resources to support management of ESHS risks and impacts of the Project, acceptable to the Association.</p> <p>The Environmental and Social Unit (ESU) within the MoF-PIU, CoESCD PIG and MoT-PIG shall each be staffed with at least one dedicated environmental specialist; one social specialist at HQ level and two experienced E&amp;S officers in each provincial office responsible for ensuring full compliance with the ESF and relevant instruments</p> <p>The MoF shall hire a GRM focal officer to manage project grievances.</p> <p>Engage two short-term E&amp;S consultants (one environmental expert and one social expert) to develop E&amp;S instruments (such as ESMF, RPF, LMP, ESIA/ESMPs) as well as to provide E&amp;S capacity building trainings.</p> | <p>The ESU unit shall be formed within 60 days after the Effective Date and maintain throughout Project implementation.</p> <p>MoF shall hire the GRM focal officer within 60 days after the Effective Date.</p> <p>No later than one month after the Effectiveness Date.</p> <p>The E&amp;S training and capacity building support shall be provided throughout Project implementation.</p> | <p>MoF PIU, CoESCD PIG and MoT-PIG</p> <p>MoF PIU</p> <p>MoF PIU</p>       |

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| 1.2                           | <p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT:</b> Prepare, disclose, consult upon, adopt, and implement the Environmental and Social Management Framework (ESMF) to guide the management of the environmental and social risks and the preparation of the site-specific assessments and management plans as well as the planned technical assistance activities, consistent with ESSs and in a manner acceptable to the Association.</p>                     | <p>The final ESMF, acceptable to the Association, shall be prepared, consulted upon, and disclosed, and adopted not later than 90 days after the Effectiveness Date.</p> <p>The ESMF shall be implemented throughout Project implementation.</p>   | MoF-PIU CoESCD-PIG & MoT-PIG                                    |
| 1.3                           | <p><b>MANAGEMENT TOOLS AND INSTRUMENTS:</b> Assess the environmental and social risks and impacts of proposed Project activities, in accordance with the Project ESMF and develop, consult, disclose, adopt, and implement site-specific ESMPs for the operations activities under sub-components 1.1, 1.2 and 2.1. in line with the ESMF requirements and proportionate to the environmental and social risks and adverse impacts of the activities.</p> | <p>ESMPs for activities under sub-components 1.1, 1.2 and 2.1 acceptable to the Association, shall be prepared, consulted on, and disclosed prior to issuance of bidding documents for civil works.</p> <p>The requirements and management measures specified in these documents shall be adopted, prior to the commencement of relevant Project activities and implemented during the construction and operation of the activities.</p> | MoF-PIU for component 2.1 and MoT-PIG for component 1.1 and 1.2 |

| MATERIAL MEASURES AND ACTIONS       |   | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY |
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| 1.4                                 | <p><b>MANAGEMENT OF CONTRACTORS:</b> Incorporate the relevant aspects of this ESCP, including the ESMF, ESMP and the relevant plans, such as LMP into the ESHS specifications of the bidding documents and contracts with contractors and supervising firms.</p> <p>Ensure that the requirement to produce contractor’s ESMP, LMP and GRM for contracted workers, and ESHS Code of Conduct are included into bidding documents for civil works contracts.</p> <p>Thereafter ensure that the contractors and supervising firms comply with the ESHS specifications of their respective contracts and implement their C-ESMP, LMP, and OHS Plan consistent with ESSs and in a manner acceptable to the Association.</p>   | <p>Prior to issuing bidding documents for specific works.</p> <p>Prior to issuing bidding documents for specific works.</p> <p>Supervise contractors throughout the Project implementation.</p>   | MoF-PIU CoESCD-PIG & MoT-PIG |
|                                     | <p><b>CONTINGENT EMERGENCYRESPONSE FINANCING</b></p> <p>a. Ensure that the CERC Manual includes a description of the ESHS assessment and management arrangements including, for the implementation of Contingent Emergency Response Part, in accordance with the ESSs.</p> <p>b. Prepare, disclose, consult and adopt any environmental and social (E&amp;S) management plans or instruments which may be required for activities under Contingent Emergency Response Part of the Project, in accordance with the CERC Manual [and, if applicable, CERC-ESMF or CERC-ESMF Addendum and/or Emergency Response Plan and the ESSs, and thereafter implement the measures and actions required under said E&amp;S management plans or instruments, within the timeframes specified in said E&amp;S management plans or instruments.</p> | <p>a) The adoption of the CERC Manual in form and substance acceptable to the Bank is a withdrawal condition under Section III.B.1(b) of Schedule 2 of the Financing Agreement for the Project.</p> <p>b) The E&amp;S management plans or instruments shall be prepared, disclosed, consulted, and thereafter adopted before the carrying out of the relevant Project activities under the Emergency Response Part. The E&amp;S management plans or instruments shall be implemented in accordance with their terms, throughout Project implementation.</p> | MoF-PIU                      |
| ESS 2: LABOR AND WORKING CONDITIONS |   |   |                              |

| MATERIAL MEASURES AND ACTIONS |   | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY  |
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| 2.1                           | <p><b>LABOR MANAGEMENT PROCEDURES:</b> Prepare, disclose, consult upon, adopt and implement Labor Management Procedures (LMP) applicable to the entire Project including, inter alia, provisions on working conditions, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms (including, relevant national laws and other ESS2 requirements, such as adoption of a code of conduct, prevention of all forms of forced labor and child labor).</p> <p>Following development of LMP, incorporate LMP into ESMPs and Contractor ESMPs.</p> <p>The LMP shall also be subject to updating, as needed, during the Project implementation.</p> | <p>Not later than two months after the Effective Date.</p> <p>Prior to issue bidding documents for civil works</p> <p>Throughout Project implementation</p> | MoF-PIU, CoESD PIG & MOT-PIG. |
| 2.2                           | <p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES:</b> Develop and implement occupational, health, and safety (OHS) measures of at workplace, in particular protection and safety for jobs with increased risk of injury and damage to health, as well as organization of training for workers in such jobs, all consistent with ESS2.</p> <p>Prepare, submit for the approval of the Association, adopt and implement an Occupational Health and Safety (OHS) plan for Project workers at the worksite and in the labor camps.</p> <p>Monitor the compliance with the OHS standards at workplaces in line with national OHS legislation, ESS2 OHS requirements, and WHO and WB guidelines on COVID-19 prevention.</p>  | <p>Prior to commencement of civil works.</p> <p>Prior to commencement of Civile Works</p> <p>Throughout Project implementation.</p>                         | MoF-PIU, CoESD PIG & MOT-PIG. |

| MATERIAL MEASURES AND ACTIONS   |  | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY                                       |
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| 2.3   | <p><b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b><br/>Establish, maintain, and operate a functional GRM for Project workers to raise workplace concerns, as described in the LMP and consistent with ESS2.</p>   | Prior to commencement of civil works.   | MoF-PIU, CoESD-PIG & MoT PIG                                       |
| <b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b> |  |   |  |
| 3.1   | <p><b>WASTEWATER AND WASTE MANAGEMENT PLAN.</b> Develop and implement waste management and debris management procedure consistent with ESS3. These measures shall be included in the site-specific Contractor ESMPs (CESMPs) to be prepared and implemented by the Contractors.<br/>Ensure that the contractors comply and cause subcontractors to comply with these measures.</p>   | <p>Prior to commencement of civil works</p> <p>Throughout Project implementation</p>  | MoF-PIU, CoESD-PIG & MoT PIG shall ensure that contractors comply. |
| 3.2   | <p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b> Ensure that measures to prevent pollution during construction and operation are included in Contractors' ESMPs (including development of Waste Management Plan) following guiding principles of ESS 3, the ESMF, site-specific ESIA (where needed) and the site specific ESMPs, and the World Bank Group Environmental Health and Safety Guidelines (EHSGs).</p> <p>Resource efficiency and pollution prevention and management measures shall be covered under the Contractor ESMP (CESMP). The CESMP needs to be prepared by the Construction Contractor and O&amp;M Contractors.</p> <p>Ensure that the contractors comply and cause subcontractors to comply with these measures.</p> | <p>Prior to issuing of bidding documents for civil works and maintained throughout Project implementation.</p> <p>Throughout Project implementation</p> | MoF-PIU, CoESD-PIG & MoT-PIG (and ensure that contractors comply)  |
| <b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>                                 |  |   |  |



| MATERIAL MEASURES AND ACTIONS  |   | TIMEFRAME  | RESPONSIBLE ENTITY/AUTHORITY                                      |
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| 4.1  | <p><b>TRAFFIC AND ROAD SAFETY:</b> Adopt and implement measures and actions to assess and manage traffic and road safety risks during construction (including development and implementation of Traffic Management Plan) as required in the ESMPs to be developed under the Contractor’s ESMPs CESMP following guiding ESS4, ESMF, and the site-specific ESIA/ESMPs.</p>  | Adopted prior to commencement of civil works and implemented throughout Project implementation.  | MoF-PIU, CoESD-PIG & MoT-PIG (and ensure that contractors comply) |
| 4.2  | <p><b>COMMUNITY HEALTH AND SAFETY:</b> Prepare, adopt, and implement measures and actions, including a community health and safety plan, to assess and manage specific risks and impacts to the community arising from Project activities consistent with ESS4. The mitigation measures proposed shall be incorporated into the construction ESMPs to be prepared in accordance with the ESMF.</p> <p>Incorporate labor influx risk mitigation plan and code-of-conduct (CoC) into the CESMPs.</p>                        | Adopted prior to commencement of civil works and implemented throughout Project implementation as part of the CESMP process.                                     | MoF-PIU, CoESD-PIG & MoT-PIG (and ensure that contractors comply) |
| 4.3  | <p><b>GENDER-BASED VIOLENCE (GBV) AND SEXUAL EXPLOITATION AND ABUSE AND SEXUAL HARASSMENT (SEA/SH):</b> Incorporate the SEA/SH measures into site-specific ESMPs. Review contractors’ ESMPs to verify that appropriate mitigation actions are included.</p> <p>These measures shall also be incorporated into bidding documents and contracts with contractors including a contractor’s code of conduct (CoC) to address SEA/SH.</p> <p>Project workers shall be trained on the behavioral obligations under the CoC.</p> | Part of the ESMP process and relevant measures shall be incorporated in the bidding documents for civil works and implemented throughout Project implementation. | MoF-PIU, CoESD-PIG & MoT-PIG                                      |
| <p><b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b></p> |   |  |   |

| MATERIAL MEASURES AND ACTIONS   |   | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY |
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| 5.1   | <p><b>RESETTLEMENT POLICY FRAMEWORK AND RESETTLEMENT PLANS:</b> Prepare, disclose, consult upon, adopt, and implement a Resettlement Policy Framework (RPF) for the Project consistent with ESS5.</p> <p>Resettlement Action Plans (RAPs) shall be prepared, disclosed, consulted upon, adopted, and implemented in accordance with Resettlement Policy Framework and ESS5.</p>   | <p>The final RPF acceptable to the Association, shall be prepared, consulted on, disclosed, and adopted not later than two months after the Effective Date.</p> <p>Submit the respective RAP for the Association’s prior review and approval, adopt, and disclose the RAP, and once adopted, implement the respective RAP, prior to commencement of works where a RAP is required</p> | MoF-PIU, CoESD-PIG & MoT-PIG |
| <b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>              |   |   |                              |
| 6.1   | <p><b>BIODIVERSITY RISKS AND IMPACTS:</b> This standard is not relevant. However, as part of the ESMF, assess the impacts on natural and critical natural habitats, if any, and avoid impacts on critical natural habitats. In case of contact with natural and critical natural habitat areas and areas with endemic and threatened species, the PIGs shall prepare and implement a Biodiversity Management Plan (BMP) consistent with ESS6 and in a manner acceptable to the Association.</p> | Prior to commencement of civil works  | MoF-PIU, CoESD-PIG & MoT-PIG |
| <b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b> |   |   |                              |
|   | Not relevant  |   |                              |
| <b>ESS 8: CULTURAL HERITAGE.</b>  |   |   |                              |
| 8.1   | <p><b>CHANCE FINDS:</b> This Standard is not relevant. However, a chance finds procedure shall be included in the ESMF (to guide the preparation of future site-specific and Construction ESMPs). All Construction contracts shall include a Chance Find Procedure, including actions to be taken in case of an unexpected cultural heritage discovery.</p>   | Not later than two months after the Effective Date  | MoF-PIU, CoESD-PIG & MoT-PIG |
| <b>ESS 9: FINANCIAL INTERMEDIARIES</b>  |   |   |                              |
|   | Not relevant  |   |                              |

| MATERIAL MEASURES AND ACTIONS                                    |   | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY |
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| <b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b> |   |   |                              |
| 10.1   | Prepare, update, consult upon, disclose, adopt, and implement the preliminary Stakeholder Engagement Plan (SEP) consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.  | <p>Prepare and disclose the preliminary SEP before Project appraisal</p> <p>Update and consult upon, and disclose two months after the Effective Date, and thereafter implement.</p> <p>The SEP is a living document and shall be updated throughout the Project implementation, as needed.</p> | MoF-PIU, CoESD-PIG & MoT-PIG |
| 10.2   | <b>PROJECT GRIEVANCE MECHANISM:</b> GM of the existing SCINHP (Strengthening Critical Infrastructure against Natural Hazards) shall be scaled up for the Project. Update, maintain and operate the existing grievance mechanism, as described in the Preliminary SEP to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The project will comprise two GMs (project GM and workers GM). If the Project GM is not suitable to deal with sexual exploitation and abuse and sexual harassment (SEA/SH), then a third GM shall also be formed. | A functional GRM shall be in place within 60 days after the Effective Date and it shall be maintained and operated throughout Project implementation.   | MoF-PIU, CoESD-PIG & MoT-PIG |
| 10.3   | <b>CITIZENS SATISFACTION SURVEY:</b> Engagement of a consulting firm to conduct citizen satisfaction survey   | 3 <sup>rd</sup> and 4 <sup>th</sup> year of Project implementation.   | MoF-PIU                      |
| <b>CAPACITY SUPPORT (TRAINING)</b>                               |   |   |                              |
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| MATERIAL MEASURES AND ACTIONS |   | TIMEFRAME   | RESPONSIBLE ENTITY/AUTHORITY                          |
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| CS1                           | <p><b>CAPACITY BUILDING SUPPORT:</b> Provide capacity-building and training support to E&amp;S units of MoT &amp; CoESCD including contractors on:</p> <ul style="list-style-type: none"> <li>• WB’s ESF</li> <li>• Stakeholder mapping and analysis</li> <li>• Specific aspects of environmental and social assessment, OHS/EHS.</li> <li>• Implementation of ESMP in general</li> <li>• COVID-19 Infection Prevention and Control Recommendations</li> <li>• Emergency preparedness and response</li> <li>• community health and safety</li> <li>• SEA/SH measures</li> <li>• Labour compliance management</li> <li>• Grievance Redress Mechanism (GRM)</li> <li>• Labour compliance management, including GRM for workers</li> </ul> | <p>Within the first year of the Project implementation and before start of the Project activities</p> <p>Prior to commencement of works and throughout sub-projects implementation.</p> | <p>PIU, CoESD-PIG &amp; MoT-PIG &amp; Contractors</p> |